# **STATE OF ILLINOIS**

# **INTERNAL AUDIT ADVISORY BOARD** Web Address: [HTTP://SIAAB.AUDITS.UILLINOIS.EDU](http://siaab.audits.uillinois.edu/)

# **MINUTES**

**SIAAB Chief Internal Auditor Roundtable – May 13, 2025**

**9:00 A.M.**

**Roll Call**

**SIAAB Members Present:**

1. Chair Teri Taylor (Moderator), Office of the Comptroller
2. Vice Chair Butch Stilwell, Central Management Services
3. Jackie Hohn, State Universities Retirement System
4. Steve Kirk, Illinois Department of Transportation
5. Nikki Lanier, Department of Revenue
6. Amy Macklin, Department of Human Services
7. Jay Wagner, Office of the Attorney General
8. Julie Zemaitis, University of Illinois

**SIAAB Members Absent:**

* Stell Mallios, Office of the Secretary of State
* Leighann Manning, Department of Public Health

**Other Participants:**

1. Ellen Jennings-Fairfield, Department on Aging
2. Phillip Dasso, Department of Children and Family Services
3. John Caporale, Department of Commerce and Economic Opportunity
4. Amy Jenkins, Department of Corrections
5. Noé G. Reyes, Department of Employment Security
6. Katherine Hudson, Department of Financial & Professional Regulation
7. Michael Sartorious, Department of Human Rights
8. Theresa Churchill, Department of Insurance
9. Andy Van Leer, Department of Natural Resources
10. Russell Needler, Department of Veterans' Affairs
11. Sally Burton, Illinois Environmental Protection Agency
12. Darick Clark, Illinois Lottery
13. Matthew Ulmer, Illinois State Board of Education
14. Denise K. Caldwell, Illinois State Police
15. Victor Neeplo, Illinois State Toll Highway Authority
16. Christine T. Monteiro, Northern Illinois University
17. Rita Moore, Western Illinois University
18. Angie Bartlett, Department of Revenue
19. Heath Woodcock, Department of Agriculture

## **Call to Order**

A Chief Internal Auditor Roundtable meeting hosted by the State Internal Audit Advisory Board (SIAAB) was held at the Illinois Department of Transportation, 2300 S. Dirksen Parkway, in Springfield, IL on Tuesday, May 13, 2025. The meeting was called to order at 9:00 A.M. by Chair Teri Taylor.

**Chief Internal Auditor Roundtable topics**

The following topics were on the agenda:

* **Adoption of Global Internal Audit Standards** – SIAAB’s Bylaws, QAR Resource and Training, and Guidance have been updated and are available. You do not have to go through an external assessment on the 2024 GIAS to note conformance.
* **Audit Management Software –** Butch Stillwell discussed the status of the State’s software and provided direction to other participants.
* **Information Technology Working Group** – The working group is meeting bi-monthly and will provide resources that will be available on SIAAB’s resources page.
* **Artificial Intelligence** – The affect and risks of AI on the State’s technology were discussed, and the need for more direction and information was noted.
* **Quarterly Staff Internal Auditor Roundtable**  - State of Illinois internal auditors would like to hold a quarterly roundtable. SIAAB will send the information to the chief internal auditors and allow them to share with their staff if they permit participation.
* **Enterprise Risk Management** – The potential benefits and costs to the State were discussed.
* **Audit Planning Risk Assessment and Audit Plan Development –** The sharing of resources, and effects of prescriptive vs risk based planning, were discussed.

* **SOC report compliance** – The challenges in SOC-report compliance, as well as the responsibilities of SOC review and the responsibilities of auditors were discussed. The IIA is currently preparing Topical Guidance on third-party resources.
* **IT Pre-Implementation Scorecard** **–** the classification of pre-implementation as advisory by the IIA vs FCIAA mandates was discussed, and the need to use either the optional scorecard or have other documentary support was noted.

No decisions were made and no votes were conducted.

**Adjournment**

The meeting was adjourned at 1:00 P.M. by Chair Teri Taylor.