



State of Illinois
State Internal Audit Advisory Board
(SIAAB)

2023 Illinois Government Auditing Conference

October 24-25, 2023
(Optional Seminar on October 26, 2023)

Northfield Inn, Suites & Conference Center, Springfield, IL
Co-Sponsored by the Springfield Chapter of the Institute of Internal Auditors (IIA)



State of Illinois State Internal Audit Advisory Board

2023 Illinois Government Auditing Conference
October 24-25, 2023

The State Internal Audit Advisory Board (SIAAB) is proud to once again co-sponsor this year's Illinois Government Auditing Conference with the Springfield Chapter of the Institute of Internal Auditors.

Part of SIAAB's mission is to serve as a clearinghouse for the correlation of internal audit training needs among the State's internal audit agencies. This year's conference offers a wide variety of topics, including opportunities to network with your peers to exchange ideas and information. We hope that the range of topics offered at this conference will be beneficial to all participants and their agencies.

Please take this opportunity to join us in Springfield for our annual Illinois Government Auditing Conference. For only \$125 for IIA members (\$150 for nonmembers), you can earn up to 14.0 hours of CPE; plus an additional half-day Springfield IIA Chapter session is available for \$40.00 IIA member fee (\$80.00 for nonmember) for an additional 4.0 hours of CPE.

We would also like to invite you to learn more about SIAAB by visiting our Web site at: siaab.audits.uillinois.edu.

Nikki Lanier, CPA
SIAAB Chair
Chief Internal Auditor
Illinois Department of Revenue

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Things to do in Springfield

Venture out and explore the Land of Lincoln!

Springfield is bursting with things to see and do. Whether you are looking for something upbeat or low key, Springfield has it all. The opportunities are endless!

Springfield is also known for its unique dining and locally owned restaurants. From the city's signature horseshoe sandwich to Caribbean cuisine, Springfield's restaurants offer an eclectic selection to pamper any palate.

Additional information about things to do and the many attractions in and around Springfield can be found at the Visit Springfield Illinois website (www.visitspringfieldillinois.com).

Hotel accommodations

A special discounted rate of **\$85.00** (plus tax) is available at the **Northfield Inn, Suites & Conference Center** in Springfield, IL for the night before each conference date.

The **Northfield Inn** is located at **3280 Northfield Drive, Springfield, IL 62702**, and provides *free parking*. The **Northfield Inn** also provides **complementary shuttle service** to/from Amtrak, airport, and bus stations upon request.

Reservations are to be made directly with the Northfield Inn by calling **217-523-7900**. The block of rooms for this conference is reserved under the name of **Institute of Internal Auditors**. **This block of rooms will be released on October 9, 2023**. After the cutoff date, there is no guarantee rooms will be available at State rates.

Additional information about the **Northfield Inn** can be found at The Northfield Inn's website (www.northfieldinn.com).

Conference at a glance

DATE

Tuesday, October 24, 2023, and Wednesday, October 25, 2023

FIELD OF STUDY

Governmental Accounting and Auditing

COURSE OBJECTIVES

Increase professional accounting and auditing skills pertaining to:

- Data Identification and Security
- Ethics in State Government
- Fraud in State Government
- Information Technology Governance
- Internal Controls, Risk Assessment, and Audit Planning
- Mandatory Professional Audit Standards in the Public Sector

COURSE LEVEL

- External / Internal Audit Staff, Supervisors, and Managers
- Chief Internal Auditors / Chief Audit Executives

(No advanced preparation required.)

LOCATION

Northfield Inn, Suites & Conference Center

MAXIMUM CPE OFFERED

- **14 hours** for attending the 2023 Illinois Government Auditing Conference
- **3 Hours optional** CPE provided by the Springfield IIA Chapter on Thursday, October 26, 2023, from 1:00 p.m. to 4:00 p.m.

COURSE FEES

- **\$125** (Member) and **\$150** (Nonmember) for the 2023 Illinois Government Auditing Conference
- **\$40** (Member) and **\$80** (Nonmember) for the optional Springfield IIA Chapter half-day session on October 26, 2023

CPE SPONSOR LICENSE REGISTRATIONS

- State Internal Audit Advisory Board (SIAAB) – IDFPR # 158001129
- Springfield Chapter Institute of Internal Auditors (IIA) – IDFPR # 158001595

Tuesday, October 24, 2023 Agenda

- 07:45 a.m. – 08:30 a.m. **REGISTRATION / BREAKFAST / SIGN-IN**
- 08:30 a.m. – 08:40 a.m. **OPENING REMARKS**
Nikki Lanier, CPA
SIAAB Chair
Chief Internal Auditor, Illinois Department of Revenue
siaab.audits.uillinois.edu/
- 08:40 a.m. – 09:00 a.m. **SIAAB and Springfield IIA Update**
Jay Wagner, CIA, CFE, CISA
SIAAB Vice Chair and Conference Coordinator
Chief Internal Auditor, Office of the Illinois Attorney General
www.theiia.org/en/chapters/united-states/illinois/springfield
- 09:00 a.m. – 10:40 a.m. **Fool Me Once**
Register by October 6, 2023, to get a complementary copy of Dr. Pope's new book, [Fool Me Once!!](#)
Dr. Kelly Richmond Pope, CPA
DePaul University
Author, *Fool Me Once*, and *All the Queen's Horses*
www.kellyrichmondpope.com
- 10:40 a.m. – 11:00 a.m. **BREAK**
- 11:00 a.m. – 12:00 noon **Service Organization Control (SOC) Reports**
Heath Peek, CISA
Info-Sec Compliance Analyst
Illinois Department of Innovation and Technology (DoIT)
doit.illinois.gov
- 12:00 noon – 01:00 p.m. **LUNCH**
- 01:00 p.m. – 02:15 p.m. **CISA – An Introduction to Cybersecurity and Government Cybersecurity Services**
Tony Collings, Illinois Cybersecurity State Coordinator (CSC)
Cybersecurity and Infrastructure Security Agency (CISA) Region 5
- 02:15 p.m. – 02:35 p.m. **BREAK**
- 02:35 p.m. – 03:25 p.m. **Unique Aspects of Auditing in the Public Sector**
Pamela Stroebel Powers, CIA, CGAP, CRMA, CPA
Director of Public Sector Guidance
The Institute of Internal Auditors (IIA)
theiia.org
- 03:30 p.m. – 04:20 p.m. **Internal Audit and Fraud**
Pamela Stroebel Powers, CIA, CGAP, CRMA, CPA
Director of Public Sector Guidance
The Institute of Internal Auditors (IIA)
theiia.org
- 04:20 p.m. - 04:30 p.m. **WRAP-UP AND ADJOURNMENT**

Wednesday, October 25, 2023 Agenda

07:45 a.m. – 08:30 a.m.	REGISTRATION / BREAKFAST / SIGN-IN
08:30 a.m. – 08:35 a.m.	OPENING REMARKS Jay Wagner, CIA, CFE, CISA SIAAB Vice Chair and Conference Coordinator Chief Internal Auditor, Office of the Illinois Attorney General
08:35 a.m. – 09:00 a.m.	Legislative Audit Commission Update Tyler Hunt, Director Legislative Audit Commission ilga.gov/commission/lac/lac_home.html
09:00 a.m. – 9:40 a.m.	Future Focus: the Profession, the proposed Standards, and SIAAB Jay Wagner, CIA, CFE, CISA SIAAB Vice Chair and Quality Assurance Coordinator Chief Internal Auditor, Office of the Illinois Attorney General www.linkedin.com/in/jay-wagner-cia-cfe-cisa
09:40 a.m. – 10:00 a.m.	BREAK
10:00 a.m. – 11:00 noon	Ethics in the State of Illinois Ellen C. Bruce, Deputy Chief Counsel, Ethics Officer Illinois Department of Transportation idot.illinois.gov
11:00 a.m. – 12:00 noon	Preventing Ethical Lapses in State Government Patty McConnell, Retired Investigator for the Inspector General Office of the Illinois Attorney General illinoisattorneygeneral.gov
12:00 noon – 01:00 p.m.	LUNCH
01:00 p.m. – 02:40 p.m.	Assessing Risk and Controls Leita Hart-Fanta, CPA, CGFM, CGAP Founder of Yellowbook-CPE yellowbook-cpe.com
02:40 p.m. – 03:00 p.m.	BREAK
03:00 p.m. – 04:20 p.m.	Assessing Risk and Controls Leita Hart-Fanta, CPA, CGFM, CGAP Founder of Yellowbook-CPE yellowbook-cpe.com
04:20 p.m. – 04:30 p.m.	CLOSING COMMENTS, WRAP-UP, AND ADJOURNMENT

Thursday, October 26, 2023 Agenda

08:00 a.m. – 12:00 noon **State of Illinois Internal Auditor Roundtables**
If you did not receive an invitation, contact:
Harold.Wagner@ilag.gov

12:00 noon – 01:00 p.m. **BOX LUNCH**

Optional IIA Training (not included with Conference)

12:00 noon – 01:00 p.m. **BOX LUNCH**

01:00 p.m. – 02:15 p.m. **"All the Queen's Horses" Documentary**
Produced and Directed by
Dr. Kelly Richmond Pope, CPA
www.allthequeenshorsesfilm.com
www.kellyrichmondpope.com

02:15 p.m. – 02:30 p.m. **BREAK**

02:30 p.m. – 04:00 p.m. **Fraud Panel Discussion**

Stephen W. Minder, CPA, CIA, CISA, CFE, CGMA
Founding Partner, YCN Group, LLC
ycngroup.com

Stephen D. Kirk, CIA, CGAP
Chief Internal Auditor, Illinois Dept of Transportation
SIAAB Guidance Coordinator
Author, *Betrayal of Trust*
idot.illinois.gov/about-idot/stay-connected/blog/stephen-kirk.html

Jay Wagner, CIA, CFE, CISA
Chief Internal Auditor, Illinois Attorney General
SIAAB Vice Chair and Quality Assurance Coordinator
www.linkedin.com/in/jay-wagner-cia-cfe-cisa

Conference Registration

2023 Illinois Government Auditing Conference

Co-Sponsored by the Springfield Chapter of The Institute of Internal Auditors
Tuesday, October 24, 2023, and Wednesday, October 25, 2023
Northfield Inn, Suite & Conference Center

REGISTRATION FEES

\$125 (Member/Student) and **\$150** (Nonmember) for the 2023 SIAAB Government Auditing Conference, October 24, 2023, and October 25, 2023.
(Fee includes Continental Breakfast, Lunch, & Refreshments.)

Optional Springfield IIA Chapter Seminar, October 27, 2023:
"All the Queen's Horses" Screening and Panel Discussion
\$40 (Member/Student) and **\$80** (Nonmember)
(Fee includes Lunch, & Refreshments.)

CONFERENCE REGISTRATION

Payments are accepted online by credit card, by mail, or by paying at the door on the day of the training. **Invoicing is available to State of Illinois agencies.**

Please register on-line by **noon** on **October 6, 2023** at:
Illinois Government Auditing Conference:
na.eventscloud.com/2023-siaab-conference

Optional Springfield IIA Chapter Seminar, October 26, 2023:
"All the Queen's Horses" Screening and Panel Discussion
na.eventscloud.com/all-the-queens-horses

CONFERENCE REGISTRATION (continued)

Make Checks Payable to
THE INSTITUTE OF INTERNAL AUDITORS – SPRINGFIELD
(FEIN 37-1088763)

Please Mail Checks to
The Institute of Internal Auditors – Springfield Chapter
P.O. Box 332, Rochester, IL 62563

CANCELLATION POLICY

Written notice of cancellations received on or before **October 20, 2023**, will be fully refunded. After **October 20, 2023**, cancellation refund requests will be considered on a case-by-case basis.
Substitution of registrants is allowed.

PLEASE NOTE

Registration for this conference **will be closed at noon on October 20, 2023**. Registrations after the closing date will be added as cancellations are received. If you wish to be placed on a waiting list for this conference, please contact H. Jay Wagner at 217-524-4094 or at Harold.Wagner@ilag.gov