

STATE OF ILLINOIS
INTERNAL AUDIT ADVISORY BOARD

Web Address: [HTTP://SIAAB.AUDITS.UILLINOIS.EDU](http://SIAAB.AUDITS.UILLINOIS.EDU)

MINUTES

SIAAB Fall Government Auditing Conference on October 25-27, 2021

ROLL CALL

Members Present at the Virtual Conference through Zoom:

Amy DeWeese Macklin (Chair), Department of Human Services
Natalie Covello (Vice Chair), Chicago State University
Kishor Desai, Illinois Student Assistance Commission
Steve Kirk, Department of Transportation
Nikki Lanier, Department of Revenue
Stell Mallios, Office of the Secretary of State
Leighann Manning, Office of the Treasurer
Gary Shadid, Office of the Comptroller
Jay Wagner, Office of the Attorney General
Julie Zemaitis, University of Illinois

Members Absent:

Jack Rakers, Central Management Services (with the exception of participation in a presentation on Monday, October 25, 2021 from 12:30p to 1p).

MINUTES

The SIAAB Illinois Government Auditing Virtual Conference was held on October 25-27, 2021. All sessions were held virtually via Zoom. The Conference was scheduled, in accordance with the Fiscal Control and Internal Auditing Act requirements, to provide internal audit training only and accordingly, there were no Board actions taken, nor were there any motions taken or voted upon during the training event. 173 people attended at least one day of the Conference.

OCTOBER 25, 2021

The Conference began at 12:30 pm. Opening remarks were made at 12:30 pm by Amy Macklin, SIAAB Chair. The following presentations were made:

- Fiscal Control and Internal Auditing Act (FCIAA) Internal Control Certification and Checklists Update by Gary Shadid, CPA, CGMA, Chief Internal Auditor, Illinois Office of the Comptroller and Jack Rakers, CPA, CIA, CISA, Chief Internal Auditor, Central Management Services.
- SOC Reports by John Valtierra, Chief Internal Auditor and Judy Zhu, Internal Auditor, Department of Innovation and Technology (DoIT)
- Cybersecurity by Adam Ford, Chief Information Officer, Department of Innovation and Technology (DoIT)

- Executive Ethics Commission Update by Michelle Casey, Executive Director and Nathan Rice, Assistant General Counsel, Illinois Executive Ethics Commission

The first day of the Conference adjourned at 4:00 pm.

OCTOBER 26, 2021

The Conference began at 12:30 pm. Opening remarks were made at 12:30 pm by Jay Wagner, SIAAB Conference Coordinator. The following presentations were made:

- Open Meetings Act Update by Sarah Pratt, Public Access Counselor, Office of the Illinois Attorney General
- Office of the Executive Inspector General Update by Susan Haling, Executive Inspector General for Agencies of the Illinois Governor
- Auditor General Update by Honorable Frank Mautino, Illinois Auditor General, Kathy Lovejoy, Principal of IS Audits, and Dan Nugent, Technical Specialist
- Remote Auditing Techniques by Kyle O'Rourke, Chicago IIA Chapter President

The second day of the Conference adjourned at 4:00 pm.

OCTOBER 27, 2021

The Conference began at 12:30 pm. Opening remarks were made at 12:30 pm by Jay Wagner, SIAAB Conference Coordinator. The following presentations were made:

- SIAAB Updates, Open Discussion, Questions and Answers by Jay Wagner, SIAAB Conference Coordinator, Chief Internal Auditor, Office of the Attorney General
- Fighting Fraud: A 24 Hour Responsibility by Steve Goepfert, CIA, CRMA, Vice President of Internal Audit, United Airlines (retired)
- Closing Keynote: Doubling Down on the Fundamentals by Laura Soileau, CIA, CRMA, CISA, CPA, CFE, CGMA, 2021-22 Chair of the IIA North American Board, Partner & Consulting Director, Postlethwaite & Netterville

The third and final day of the Conference adjourned at 4:00 pm.