

STATE OF ILLINOIS
INTERNAL AUDIT ADVISORY BOARD
WEB ADDRESS: [HTTP://SIAAB.AUDITS.UILLINOIS.EDU](http://SIAAB.AUDITS.UILLINOIS.EDU)

MINUTES

Board Meeting November 15, 2006

The regular meeting of the State Internal Audit Advisory Board was held on November 15, 2006 at the James R. Thompson Center, Chicago, Illinois, room 9035 with video conferencing at the Stratton Office Building, 500 ½ Video Conference Room. Chair John Cressman called the meeting to order at 10:06AM.

Members Present

John W. Cressman, Chair – Illinois Office of Internal Audit (Springfield)
Lesslie D. Morgan, Vice-Chair – Office of the Attorney General (Springfield)
Barbara Ringler, Office of the Treasurer (Chicago)
Rusti Cummings, Office of the Comptroller (Chicago)
Kathleen Moreno, Eastern Illinois University (Term to 2/1/04) (Springfield)
Al DiSilvestro, Office of the Secretary of State (Springfield)

Guest Present

None

Members Absent

None

Minutes

The Board reviewed the minutes from the October 11, 2006 meeting. Ms. Moreno said that under the heading of New Business the word *affective* should be changed to *effective*. Also under New Business, Ms. Moreno stated that there should be a title put behind Stacey Smith's name. Also, Ms. Ringler added that the word *out* should be replaced by *our* under new business. Mr. Cressman asked if there were any other objections to approving the minutes as amended. Ms. Cummings made the motion to approve the minutes and Ms. Moreno seconded, all members were in favor. The October 11, 2006 minutes were approved.

Reports/Updates

CPE Coordinator – Online Peer Review Training Update

Ms. Morgan indicated that only one, Kavita Misra, from Crowe, Chisek & Company successfully passed the Board's online training course since the last meeting.

Performance Committee

Ms. Morgan indicated that the Performance Committee is currently reviewing the external quality assurance reviews for Governor State University, Illinois Student Assistance Commission, Illinois State Board of Education, Southern Illinois University and Eastern Illinois University.

Executive Committee

The Attorney General's, Housing Development Authority, State Employee's Retirement Systems, Treasurer's and State University Retirement Systems have been forwarded to the Executive Committee.

Old Business

No old business.

New Business

Mr. Cressman stated that Peer Review Team Approval/Statement of Independence and Confidentiality Policy for the Secretary of State's Office needed to be approved. The Secretary of State's request was received on October 26, 2006 and is applying to do an external review using the firm of Crowe, Chizek and Company, LLC. Mr. Cressman asked if Crowe had performed external auditing or consulting services with the Secretary of State, and Mr. DiSilvestro indicated no. Mr. Cressman entertained a motion for approval. Ms. Cummings made the motion and Ms. Ringler seconded all members were in agreement. Mr. Cressman said the Board had received a request from Western Illinois University asking for an extension. Mr. Cressman asked to entertain a motion. Ms. Ringler made the motion that a letter be sent to Western Illinois University saying the Board can not accept their extension due to IIA Standards. Ms. Morgan seconded, Ms. Moreno abstained from voting. All other Board members were in agreement. Mr. Cressman said the Board had received a question on Assurance and Consulting Services from Chicago State University. Ms. Ringler suggested that a letter be sent out. Mr. Cressman said he would send a letter out to Chicago State University. Ms. Morgan said that Terry Mulcher from the Attorney General's office had agreed to give the Board members training as a special training session to be scheduled in January.

Announcements

The next meeting will be December 13, 2006 at 10:00AM at the Capitol City Center in Springfield, Illinois.

Adjournment

A motion to adjourn was made by Ms. Ringler and seconded by Ms. Moreno. All were in favor. The meeting was adjourned at 11:40 AM.