

STATE OF ILLINOIS
INTERNAL AUDIT ADVISORY BOARD
WEB ADDRESS: [HTTP://SIAAB.AUDITS.UILLINOIS.EDU](http://SIAAB.AUDITS.UILLINOIS.EDU)

MINUTES
Board Meeting May 10, 2006

The regular meeting of the State Internal Audit Advisory Board was held on May 10, 2006 at the Capital City Center, Springfield, Illinois, Room 103. Chair John Cressman called the meeting to order at 10:19 AM.

Members Present

John W. Cressman, Chair – Illinois Office of Internal Audits
Lesslie D. Morgan, Vice-Chair – Office of the Attorney General
Barbara Ringler, Office of the Treasurer
Al DiSilvestro – IL Secretary of State (via phone)
Kathleen Moreno – Eastern Illinois University (Term to 2/1/04) (via phone)

Guest Present

None

Members Absent

None

Minutes

The Board reviewed the minutes from the April 19, 2006 meeting. Mr. Cressman asked if there were any objections to approving the minutes. Ms Cummings stated that on the last page the sentence “*The Board reviewed the request from Jane Hughes-Jackson of the Illinois Commerce Commission to have Mark Schwab and Kathy Burton perform **his** peer review*” the word **his** should be changed to **hers**. Ms. Ringler made the motion to approve the minutes with the change, Ms. Cummings seconded, all members were in favor. The April 19, 2006 minutes were approved.

Reports/Updates

CPE Coordinator – Online Peer Review Training Update

Ms. Morgan stated two individuals; Julie Zemaitis and Tina Bloom did the online training course. There are still three people out, Kathleen Moreno, John Thissen and John Bracco who are currently registered to take the course. The performance committee has two individuals who have not taken the review, Steve Kirk and Al DiSilvestro. Ms. Morgan stated everything is going along as well as can be expected.

For the online training course there are approximately thirty-two people other than the Coordinator at this point who have completed the current program with only a few more who may register for this year. Ms. Morgan usually sends CPE certificates out within a couple of days after receiving notice of completion. Ms. Morgan said that she would like to review the time it has taken individuals to take the training with Mr. Mascorro, since so many individuals have completed it this year to determine whether there should be an adjustment made in the amount of CPE earned for taking the course.

Performance Committee

Ms. Morgan has sent out an email that went to all the Audit Chief's with information on External and Self-Assessments. Ms. Morgan said she received an email from John Bracco, Chief Internal Auditor of the Supreme Court stating:

Because the Supreme Court of Illinois is a separate branch of state government distinct from the executive and legislative branches, the Fiscal Control and Internal Auditing Act (Act) requires that the Supreme Court comply with the intent of the Act. As the Chief Internal Auditor for the Supreme Court, it is my job to ensure that Supreme Court Internal Audit complies with the intent of the Act as established by the Supreme Court's rulemaking authority. Based on the Supreme Court's established authority for Supreme Court Internal Audit, we will not be subject to a peer review as is required by the Act for audit organizations under the executive branch of state government.

The Board agreed that a letter should be sent to Mr. Bracco acknowledging that FCIAA Section 10/2001(c) allows the Supreme Court to use its discretion in establishing their program of internal auditing so that it complies with the intent of the Act. Therefore the Supreme Court is not required to coordinate their external quality assurance with the Board; however they should conduct such a review in accordance with the professional auditing standards for which they have previously adopted. Ms. Cummings said she would draft the letter for the Board to send in response to the CAE's inquiry.

Old Business

Statement of Independence and Confidentiality Policy

Illinois Housing Authority has submitted a Revised Request to the SIAAB for Peer Review Team Approval approved on to replace their previously approved External Validator with Judy Lazar. Ms. Cummings made the motion to approve Judy Lazar as Illinois Housing Authorities External Validator and Ms. Ringler seconded. All members were in favor

New Business

Announcements

The next meeting will be June 14, 2006 at the Capitol City Center in Springfield.

Adjournment

A motion to adjourn was made by Ms. Morgan and seconded by Ms. Ringler. All were in favor. The meeting was adjourned at 10:55AM.